

Self-audit checklist For businesses

This document is designed to help employers conduct a self-audit of their compliance with certain key requirements set out in the *Fair Work Act 2009* and *Fair Work Regulations 2009*, and associated Acts and Regulations.

It is not required to be sent back to the Fair Work Ombudsman.

If you have any queries please, contact the **Fair Work Infoline** on **13 13 94** or visit our website at **www.fairwork.gov.au** for advice and information.

Busin	ess details
	not need to fill in this information unless you are planning on contacting us about your particular circumstances. In this
case it	may be helpful to have such details on hand which we will need in order to assist you.
1	Legal name of employer (e.g. EFGH Pty Ltd)
4 2	Business or trading name of employer (e.g. EFGH Catering)
4 3	Business address
4 4	ABN / ACN
4 5	Business contact person
_ 3	
	Title* Mr Mrs Ms Miss Dr Other (please specify)
	Given name
	Family name
	Position Position
	Phone (business) Mobile
4 6	Number of apprentices /trainees

Industrial instruments			
4 7	The laws surrounding industrial instruments have changed. Are you aware of which of the fany) cover and apply to your employees?	ollowing current ir	nstruments (if
	Modern award Color Pastoral Award 2010		
	Award-based transitional instrument		
	Agreement-based transitional instrument		
	Division 2B State employment agreement*		
	Enterprise Agreement		
	Other:		
	2 State employment instruments that were in operation immediately before 1 January 2010, and have since moved into the nation	nal workplace relations sy	stem. Division 2B
	ds terminated on 31 December 2010. From this date a modern award will generally apply to these employers. 2. Contact the Fair Work Infoline on 13 13 94 or your industry association.		
Olisuit	e: Contact the Fair Work infoline on 13 13 94 or your industry association.		
Mada	we arrande 0 the Matienal Freedories and Chandende (NFC)		
Mode	rn awards & the National Employment Standards (NES) Pastoral Award 2010		
4 8	Are you aware of the modern awards (Fany) which may cover and apply to your employees?	YES	NO
4 9	Are you aware of the National Employment Standards (NES) which apply from 1 January 2010?	YES	NO
1 0	Are you aware of your obligations with respect to:		
	Requests for flexible working arrangements?	YES	NO
	Notice of termination? National Employment	YES	NO
	Redundancy pay? Standards	YES	NO
1 1	Are all new employees given a Fair Work Information Statement?	YES	NO
1 2	Do you retain details of how the statement was given?	YES	NO
1 3	Are you aware of how the modern awards and National Employment Standards interact with your existing workplace agreements?	YES	NO
	Did you answer NO to any of the above questions? List the actions you need to take to m NES entitlements checklist requirements. For information about modern awards and the NES, visit www.fairwork.gov.au/nes	eet the modern av	wards and
			,

Leave Entitlements		
✓ 14 Do you maintain accurate records of employee leave entitlements?	YES	NO
✓ 15 Do you have processes in place for employees to apply for:		
Annual leave?	YES	NO
Personal/carer's leave?	YES	NO
Compassionate leave? Leave application form	YES	NO
Parental leave?	YES	NO
Community service leave?	YES	NO
Long service leave?	YES	NO
Did you answer NO to any of the above questions? List the actions you need to take to meet the m	odern awards and	leave checklist
requirements. For information about modern awards and leave, visit www.fairwork.gov.au/leave		
Pay & conditions		
	Monthly	
On which day: Mon Tue Wed Thur	Fri Sat	Sun
Paid by: Cheque Cash EFT		
✓ 18 Do you include the following details on the employee's pay slip?		
Employer ABN	YES	NO NO
Legal and/or trading name of employer Timesheets	YES	NO
Employee name Time & wages book Employee details form	YES	NO
Date of payment (e.g. 19/06/09)	YES	NO
Period of payment (e.g. 04/06/09 – 18/06/09)	YES	NO
Gross and net amount of pay	YES	NO
For employees paid an hourly rate – the ordinary hourly rate of pay, number of hours worked at that rate and the amount of payment at that rate N/A	YES	NO
For employees paid an annual rate (salary) that rate as at the last day in the payment period N/A	YES	NO
Details of any deductions made from the employee's pay		
Amount and the name of the superannuation fund (for employers required to make superannuation contributions for the benefit of employees)	YES	NO

1 9				
	Do employees get a pay slip within 1 working day after they are p	paid?	YES	NO L
4 20	Do casual employees receive the correct loading for ordinary			
	time/overtime/weekend work and public holidays in accordance the relevant Award/Agreement? NB 259	with % loading	YES	NO
	the relevance was a, right entire.	nimum 3	1[3 []	NO [
1 21	If work is performed on weekends, nights or public holichour er	ngagement		
	Do you accurately record the start and finish times for employees			
	who receive penalty rates?	N/A	YES	NO
	Do you pay the correct penalty rates (according to the relevant			
	Award/Agreement)?	N/A	YES	NO
1 22	Are district/uniform/late work or other allowances being paid			
	as per the relevant Award/Agreement/Act?	N/A	YES	NO _
23	Do employees get the correct meal break according to			
	the relevant Award/Agreement?	N/A	YES	NO
	If no, are employees properly compensated?	N/A	YES	NO
	nswered NO to any of the questions numbered 18-23, you may be			
ïme (& wages record keeping			
ime (& wages record keeping Do you include these details on the employee's records? Note: yo	u can keep manual ar	nd/or electronic re	ecords.
		u can keep manual ar	nd/or electronic re	ecords.
	Do you include these details on the employee's records? Note : yo	u can keep manual ar		
	Do you include these details on the employee's records? Note : yo Legal and/or trading name of employer	u can keep manual ar	YES	NO _
	Do you include these details on the employee's records? Note : yo Legal and/or trading name of employer Employee name Date the employee commenced work with the employer Employee status – whether the employee undertakes part-time o	r full-time work	YES YES YES	NO NO NO
	Do you include these details on the employee's records? Note : yo Legal and/or trading name of employer Employee name Date the employee commenced work with the employer Employee status – whether the employee undertakes part-time o and whether they are engaged as a permanent, temporary or case	r full-time work	YES YES YES	NO NO NO NO
	Do you include these details on the employee's records? Note : you Legal and/or trading name of employer Employee name Date the employee commenced work with the employer Employee status – whether the employee undertakes part-time of and whether they are engaged as a permanent, temporary or case Number of hours worked	r full-time work	YES YES YES YES	NO NO NO
	Do you include these details on the employee's records? Note: you Legal and/or trading name of employer Employee name Date the employee commenced work with the employer Employee status – whether the employee undertakes part-time of and whether they are engaged as a permanent, temporary or case Number of hours worked Number of overtime hours worked	r full-time work ual employee esheets e & wages book	YES YES YES	NO NO NO NO
	Do you include these details on the employee's records? Note: you Legal and/or trading name of employer Employee name Date the employee commenced work with the employer Employee status – whether the employee undertakes part-time of and whether they are engaged as a permanent, temporary or case Number of hours worked Number of overtime hours worked Rate of pay	r full-time work ual employee esheets e & wages book slips	YES	NO NO NO NO NO
	Do you include these details on the employee's records? Note: you Legal and/or trading name of employer Employee name Date the employee commenced work with the employer Employee status – whether the employee undertakes part-time of and whether they are engaged as a permanent, temporary or case Number of hours worked Number of overtime hours worked Rate of pay Any written agreements of hours worked, individual flexibiling of annual earnings	r full-time work ual employee esheets e & wages book slips bloyee details for description	YES	NO NO NO NO NO NO NO NO
	Do you include these details on the employee's records? Note: you be a long to be a	r full-time work ual employee esheets e & wages book slips bloyee details for	YES	NO NO NO NO NO
	Do you include these details on the employee's records? Note: you Legal and/or trading name of employer Employee name Date the employee commenced work with the employer Employee status – whether the employee undertakes part-time of and whether they are engaged as a permanent, temporary or case Number of hours worked Number of overtime hours worked Rate of pay Any written agreements of hours worked, individual flexibiling of annual earnings	r full-time work ual employee esheets e & wages book slips bloyee details for description	YES	NO N
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•	answered NO to any part of question 24 that applies in your circumstances, you may be in contravention of your workplace
_	tions. Visit www.fairwork.gov.au/resources or contact the Fair Work Infoline on 13 13 94 for advice.
Note:	any areas where you may need to take action. in order to meet the time and wages record keeping requirements.
4 25	Did you know you have to keep time and wages records for 7 years?
Dismi	issal and bargaining
	nfair dismissal laws, including a small business fair dismissal code, apply under the Fair Work Act 2009 . New requirements
	ployers and employees to bargain in good faith when making enterprise agreements also apply. These obligations enced on 1 July 2009.
COMMIN	enced on 1 July 2009.
More	information
	tt the Fair Work Infoline on 13 13 94 or visit www.fairwork.gov.au
	oad templates for time and wages records and pay slips www.fairwork.gov.au/resources
Fact sh	eets on workplace relations issues to read download or print www.fairwork.gov.au/resources
How	do you contact the Fair Work Ombudsman?
Over t	he telephone – call the Fair Work Infoline on 13 13 94 for the cost of a local call.
Over t	he internet – visit our website at www.fairwork.gov.au
By em	ail – via a special 'Contact us' email form available at www.fairwork.gov.au
By pos	st – address your letter to the Fair Work Ombudsman at GPO Box 9887 in your capital city.
	son – visit one of our offices located in each capital city and in 18 regional areas across the country. Find your nearest
CC	C

office at www.fairwork.gov.au

Contact the **Translating and Interpreting Service (TIS)** on **131 450** for the cost of a local call if you need help communicating in English.

If you have a hearing or speech impairment you can call through the National Relay Service (NRS):

TTY users phone 133 677. Ask for the Fair Work Infoline 13 13 94.

Speak and Listen (speech-to-speech relay) users phone 1300 555 727. Ask for the Fair Work Infoline 13 13 94.

The information included in this checklist has been provided by the Fair Work Ombudsman (FWO) as part of its function to provide education, assistance and advice (but not legal or professional service advice). The FWO does not provide this information for any other purpose. Your completion of the checklist does not guarantee that you have met the key requirements referred to in the checklist. You may wish to refer to www.fairwork.gov.au or the Fair Work Infoline on 13 13 94 for further information. You may wish to obtain your own independent legal advice or other professional service or expert assistance relevant to your particular circumstances.

www.fairwork.gov.au Fair Work Infoline 13 13 94

